

## Transfer Authorization For Registered Investments

(RRSP, LIRA, LRSP, RRIF, LRIF, LIF, RLIF, RLSP, PRIF, TFSA, FHSA)

This form can be used for transferring the registered plans listed above **except** (1) RRIF to RRSP transfers, (2) RRIF or RRSP to TFSA transfers, (3) TFSA to RRIF or RRSP transfers, (4) RRSP to FHSA transfers, and (5) transfers due to marital breakdown.

Data entered on this form may be scanned and stored electronically. Please print neatly to ensure completeness, accuracy and machine readability.

Telephone (Personal)  Telephone (Business)  Social Insurance Number  Date of Birth (MM/DD/YYYY)  2. Receiving Institution Information  CI Investments Inc.	First Name			Middle Initial(s)  City		Last Name		
CI Investments Inc. Is York Street, 2** Floor Toronto, Ontario, MSJ 0A3 Phone: 1-800-563-5181 Fax: 1-800-567-7141 FundServ A\$M Code: CIG  REGISTERED PLAN TYPE:  RESP Spousal RRIF Spousal RRIF CI Account Number CI Group Plan Account Number (if applicable)  LIRA LIF PRIF Dealer Number Dealer Number Telephone Number Fax Number  INVESTMENT INSTRUCTIONS:  Fund Code Dollar (\$) OR Percentage (%) Fund Code Dollar (\$) OR Percentage (%)  \$ \$ \$ \$ \$  CLOCKED-IN CONFIRMATION:  LICKED-IN CONFIRMATION:  LI	Street Address Apt./Unit No.		Province			Postal Code		
Clivestments Inc. 15 York Street, 2 <sup>nd</sup> Floor Toronto, Ontario, M5J 0A3 Phone: 1-800-563-5181 Fax: 1-800-567-7141 FundServ A\$M Code: CIG  REGISTERED PLAN TYPE:  RRSP Spousal RRSP RRIF Spousal RRIF Cl Account Number Cl Group Plan Account Number (if applicable)  LIRA LIF PRIF Dealer Number Dealer Account Number Fax Number  RLSP RLIF TFSA LRSP LRIF FHSA Representative Number Fax Number  NVESTMENT INSTRUCTIONS:  Fund Code Dollar (\$) OR Percentage (%) Fund Code Dollar (\$) OR Percentage (%)  \$ \$ \$ \$ \$  CL Group Plan Account Number Fax Number  NVESTMENT INSTRUCTIONS:  Fund Code Dollar (\$) OR Percentage (%) Fund Code Dollar (\$) OR Percentage (%)  \$ \$ \$ \$ \$  CL Direction to the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord with the governing pension legislation or contractual conditional of (Province or Territory; and if applic old new). Any subsequent transfer of these locked-in funds to another registered plan type noted and will continue to be administered in accord with legislation of the jurisdiction noted above. No transfer of locked-in funds will be permitted unles receiving plan is appropriately registered and in compliance with the applicable pension legislation, regulations and the Income Tax Act (Canada) and appearathe Superintendent's List of Financial Institutions authorized to administer funds in the jurisdiction noted above (No transfer of locked-in funds will be permitted unles receiving plan is appropriately registered and in compliance with the applicable pension legislation, regulations and the Income Tax Act (Canada) and appearathe Superintendent's List of Financial Institutions authorized to administer funds in the jurisdiction noted above (No transfer of locked-in funds will be permitted unles receiving plan is appropriately registered and in compliance with the applicable pension legislation noted above (No transfer of locked-in funds will be permitted unles receiving plan is appropriately registered and	Telephone (Personal) Telephone (Business)		ness)	Social Insurance Number		Date of Birth (MM/DD/YYYY)		<u> </u>
IS York Street, 2" Floor Toronto, Ontario, M5J 0A3 Phone: 1-800-563-5181 Fax: 1-800-567-7141 FundServ A\$M Code: CIG  REGISTERED PLAN TYPE:  RRSP	2. Receiving Institut	on Information						
RRSP Spousal RRSP RRIF Spousal RRIF CI Account Number CI Group Plan Account Number (if applicable)  LIRA LIF PRIF Dealer Number  RLSP RLIF TESA LRSP LRIF FHSA Representative Number Telephone Number Fax Number  INVESTMENT INSTRUCTIONS:  Fund Code Dollar (\$) OR Percentage (%) Fund Code Dollar (\$) OR Percentage (%)  \$ \$ \$ \$ \$  S \$ \$  S \$ \$  S DOLLAR (\$) OR Percentage (%)  \$ \$ \$ \$  LOCKED-IN CONFIRMATION:  CI Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the Country in the governing pension legislation or contractual conditional of ———————————————————————————————————	<b>CI Investments Inc.</b> 15 York Street, 2 <sup>nd</sup> Floor	Toronto, Ontario, M5J 0	A3 <b>Phone:</b> 1-8	300-563-5181 <b>Fa</b> x	<b>«:</b> 1-800-567-7	141 <b>FundSe</b> r	v <b>A\$M Code:</b> CIG	
RRIF Spousal RRIF CI Account Number CI Group Plan Account Number (if applicable)  LIRA LIF PRIF RLSP RLIF TFSA  LRSP LRIF FHSA Representative Number Telephone Number Fax Number  NVESTMENT INSTRUCTIONS:  Fund Code Purchase Dollar (\$) OR Percentage (%) Fund Code Dollar (\$) OR Percentage (%)  \$ \$ \$ \$ \$  \$ \$ \$  CI Account Number Fax Number  NUCKED-IN CONFIRMATION:  CI Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the CO Direction to the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord that the governing pension legislation or contractual conditional of		CD						
LIRA LIF PRIF RLSP RLIF TFSA LRSP LRIF FHSA Representative Number    Telephone Number   Fax Number	•	CL Assessed November	oer			CI Group Pla	ın Account Numbe	er (if applicable)
RLSP RLIF TFSA LRSP LRIF FHSA Representative Number Telephone Number Fax Number  NVESTMENT INSTRUCTIONS:  Fund Code Purchase Dollar (\$) OR Percentage (%)  \$ \$ \$ \$ \$  \$ \$ \$ \$  CI Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the Correction to the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord with the governing pension legislation or contractual conditional of old new). Any subsequent transfer of these locked-in funds to another trustee or financial institution will be made only to another registered which must continue to be administered in accordance with legislation of the jurisdiction noted above. No transfer of locked-in funds will be permitted unles receiving plan is appropriately registered and in compliance with the applicable pension legislation, regulations and the Income Tax Act (Canada) and appear the Superintendent's List of Financial Institutions authorized to administer funds in the jurisdiction noted above (if applicable).	•	DRIF				Donlar Asso	unt Numbar	
Fund Code    Purchase   Dollar (\$) OR Percentage (%)   \$   \$   \$   \$   \$   \$   \$   \$   \$   \$	RLSP RLIF					Dealer Acco	unt Number	
Fund Code  Purchase Dollar (\$) OR Percentage (%)  \$	LRSP LRIF	HSA Representative N	Number			Telephone Number Fax Number		
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\$ % \$  LOCKED-IN CONFIRMATION:  CI Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the CD Direction to the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord with the governing pension legislation or contractual conditional of	Fund Code				(%) Fund Co		ΔΔ Ι	
\$ % \$ LOCKED-IN CONFIRMATION:  CI Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the CD Direction to the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord with the governing pension legislation or contractual conditional of		\$		%		\$		%
LOCKED-IN CONFIRMATION:  CI Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the Confirmation of the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord with the governing pension legislation or contractual conditional of		\$		%		\$		%
Investments Inc., as an agent for Canadian Western Trust Company, acknowledges that all locked-in funds from the registered plan noted in the Conception to the Relinquishing Institution section below will be transferred to the registered plan type noted and will continue to be administered in accord with the governing pension legislation or contractual conditional of		\$		%		\$		%
	CI Investments Inc., as a Direction to the Relinqui with the governing per old new). Any which must continue to I receiving plan is appropr	an agent for Canadian V shing Institution section nsion legislation or cont subsequent transfer of t be administered in accord iately registered and in c	below will be tr tractual conditi these locked-in lance with legisl ompliance with	ansferred to the rional of funds to another ation of the jurisdithe applicable per	egistered plai trustee or fin iction noted a nsion legislati	n type noted a ancial institut bove. No trans on, regulation	and will continue to the conti	to be administered in accordan or Territory; and if applicab only to another registered pla unds will be permitted unless t

	Name	Client Ac	ccount Number		Group Plan Number (if applicable)				
treet Address			City				Post	al Code	
ransfer: (check one box	only for asset trans	sfer instructions and	an additional box	if asset list is	attached)				
7.11.11.11.11.11.11.11.11.11.11.11.11.11		Partial¹ ( see list below	rartial <sup>1</sup> see list below or attached list)		All Assets¹ (but mixed in-cas see list below		at o	Cash Balance only as at date of transfer by Relinquishing Institution	
transferring an FHSA,   Date indicated should r						_			
Vhere I have requested	a transfer in cash, I a	authorize the liquida	tion of all or part o	f my investme	ents and agre	e to pay any appl	icable fees, charg	ges or adjustments.	
Asset Transfer	Fund Code	Trans Dollar (\$) OR Po		Asset Tr	ansfer	Fund Code		ransfer R Percentage (%)	
In Kind In Cash		\$	%	In Kind	In Cash		\$	%	
In Kind In Cash		\$	%	In Kind	In Cash		\$	%	
In Kind In Cash		\$	%	In Kind	In Cash		\$	%	
gnature of Account Ho			M/DD/YYYY) ne account		Irrevocable E	Beneficiary/Form	· 	e (MM/DD/YYYY)	
For Locked-In Plans)	spouse: 1 consent				spouse (ii ap	pricable,	Dut	'P (MM///)))/YYYY)	
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For Use by Reliqu	shing Institutio	RRIF: ( Qualified	RLSP PRIF	d) LIRA		,	Soc		
For Use by Reliqu EGISTERED PLAN TYPE DOUSAI Plan: No	RRSP LRSP Yes: Spouse's First	RRIF: ( Qualified LRIF RLIF	RLSP PRIF	d) LIRA TFSA	FHSA	,	Soc		
For Use by Reliqu EGISTERED PLAN TYPE Dousal Plan: No The default is "unisex";	shing Institutio  RRSP  LRSP  Yes:  Spouse's First  if sex-distinct, che	RRIF: ( Qualified LRIF RLIF t Name	RLSP PRIF Mid	d) LIRA TFSA	FHSA	,	Soc		
For Use by Relique EGISTERED PLAN TYPE  Dousal Plan: No  The default is "unisex";  Current year's investm	RRSP LRSP Yes: Spouse's First if sex-distinct, cheent earnings to date	RRIF: ( Qualified LRIF RLIF  t Name ck here:	RLSP PRIF Mid	d) LIRA TFSA	FHSA	,	Soc	ial Insurance Numbe	
. For Use by Reliqu EGISTERED PLAN TYPE	RRSP LRSP  Yes: Spouse's First if sex-distinct, cheent earnings to dat not form attached, or	RRIF: ( Qualified LRIF RLIF  t Name ck here:	RLSP PRIF Mid	d) LIRA TFSA dle Initial(s)	FHSA Last Name	e			
For Use by Relique EGISTERED PLAN TYPE Dousal Plan: No The default is "unisex"; Current year's investm If spouse waiver/conse	RRSP LRSP  Yes: Spouse's First if sex-distinct, cheent earnings to dat not form attached, or	RRIF: ( Qualified LRIF RLIF  t Name ck here: ce: \$ check here:	RLSP PRIF	d) LIRA TFSA dle Initial(s)	FHSA  Last Name	e	islation:	ial Insurance Numbe	

## **Use of Personal Information Notice**

CI Investments Inc. doing business under the registered business name of CI Global Asset Management ("CI GAM", "we", "our", "us") requires personal information to administer and provide services associated with your account ("Account Services"). We use the personal information collected on this form to provide the products and services you have requested, improve our products and services, and fulfill our legal and regulatory obligations. Additional privacy terms apply to use of our online services and certain other services. We are not responsible for Third Party Providers such as your financial advisor and their dealership, who process personal information in accordance with their own terms. We share your personal information with CI Financial company affiliates and their subsidiaries where necessary to administer and service your account. You have the right to request access to or correction of, or withdraw your consent to the processing of, your personal information. For more information, including with respect to our use of service providers outside of Canada or your province of residence, please contact our Privacy Officer or see the CI GAM Privacy Policy at <a href="https://www.cifinancial.com/ci-gam/ca/en/legal/privacy.html">www.cifinancial.com/ci-gam/ca/en/legal/privacy.html</a>.